

# Short Codes and Entanglement-based Quantum Key Distribution via Satellite



Xiaoyu Ai,<sup>1</sup> Robert Malaney,<sup>1</sup> Soon Xin Ng,<sup>2</sup> Lajos Hanzo<sup>2</sup>

<sup>1</sup>School of Electrical Engineering and Telecommunications at the University of New South Wales, Sydney, NSW 2052, Australia.

<sup>2</sup>School of Electronics and Computer Science, University of Southampton, U.K.

Correspondence: r.malaney@unsw.edu.au.

## Background

Very recently, ubiquitous deployment of such entanglement-based QKD over large distances has moved closer to reality, as verified by quantum entanglement distribution from a low Earth orbit satellite. We will demonstrate that this robust form of QKD via space will require a renewed focus on short-block length error-correcting codes in order to facilitate the reconciliation phase of the key distribution. Our results highlight the trade-off between the attainable key throughput vs the communication latency encountered in space-based implementations of this ultra-secure technology.

## System Model

The two legitimate users, Alice and Bob, are two ground stations, at a distance of about 1000km from each other. A satellite, used to generate and distribute entangled pairs of photons, is considered to be approximately overhead the two geographically distant ground stations.

The version of the DI-QKD protocol we adopt in this work follows the one studied in [2]. We introduce all the phases of this protocol as follows:

- **Distribution and measurement of the entangled states:** Alice and Bob share  $N_{ent}$  pairs of entangled photons. These states are represented by

$$|s\rangle = \frac{(m|01\rangle - |10\rangle)}{\sqrt{m^2 + 1}}.$$

where  $m \in \mathbb{R}$ . We will also assume that the only source of error is due to imperfect entanglement (non-maximal,  $m \neq 1$ ). For the  $i^{th}$  photon pair ( $i = [1, 2, \dots, N_{ent}]$ ) Alice and Bob perform a quantum measurement in a basis randomly chosen from  $C = \{|m_\alpha^{(0)}\rangle, |m_\alpha^{(1)}\rangle\}$  where

$$|m_\alpha^{(0)}\rangle = \frac{|0\rangle + e^{i\alpha}|1\rangle}{\sqrt{2}} \quad (1)$$

$$|m_\alpha^{(1)}\rangle = \frac{|0\rangle - e^{i\alpha}|1\rangle}{\sqrt{2}}, \quad (2)$$

where  $\alpha = 0, \frac{\pi}{2}, \frac{\pi}{4}$ . The measurement bases of Alice and Bob are randomly and independently varied.

- **Selecting the testing set:** For photon pairs selected for the test we relabel them with the index  $t$  and define the selected set as  $\mathbf{T} = \{t|t \in [1, 2, \dots, N_{ent}]\}$ . Alice then exchanges  $\mathbf{T}$  with Bob. The table below shows how the values of  $x_t$  and  $y_t$  are mapped to the actions to be taken in the phases that follow.

$x_t$	$y_t$	Action
2	1	Kept for estimating the channel parameter
0	0	Kept for CHSH game
0	1	Kept for CHSH game
1	0	Kept for CHSH game
1	1	Kept for CHSH game

- **Checking the violation of Bells Inequality:** We want to estimate the probability of winning the CHSH game to measure the entanglement of Alice and Bob's photons:

$$P_{CHSH} = Pr(x_t \cdot y_t = a_t \oplus b_t),$$

A pre-set noise tolerance parameter  $\delta$  is introduced so that the protocol will abort if  $P_{CHSH} \leq \cos^2\left(\frac{\pi}{8}\right) - \delta$ .

- **Estimating the channel parameter:** Alice and Bob estimate the fraction of erroneous bits,  $\hat{p}$ , when  $x_t = 2, y_t = 1$ . The protocol will abort if  $\hat{p} \leq \delta$ . When the estimation is complete, Alice and Bob discard the exchanged bits.

- **Key sifting:** Alice and Bob exchange all the choices of  $x_i$  and  $y_i$  which are not yet publicly revealed and save the measurement outcome of each photon pair to the raw key only if  $x_i = y_i$ .

- **Reconciliation:** Alice and Bob agree on an LDPC matrix  $H$  generated by some algorithm (e.g. the Progressive Edge Growth algorithm[4]). Alice applies this matrix on her key string, and sends  $H$  and her syndrome to Bob. Then, Bob adopts an LDPC decoding algorithm to reconcile his key string.

- **Privacy Amplification:** For the reconciled string, Alice and Bob use a Toeplitz matrix as a 2-universal hash function (e.g. see [?]) where the block length is  $N'$ , and the number of rows of the Toeplitz matrix is calculated via  $L = (1 - H_2(\hat{p})) \cdot N'$ , and where  $H_2(\cdot)$  is the binary entropy function.

## Main Results

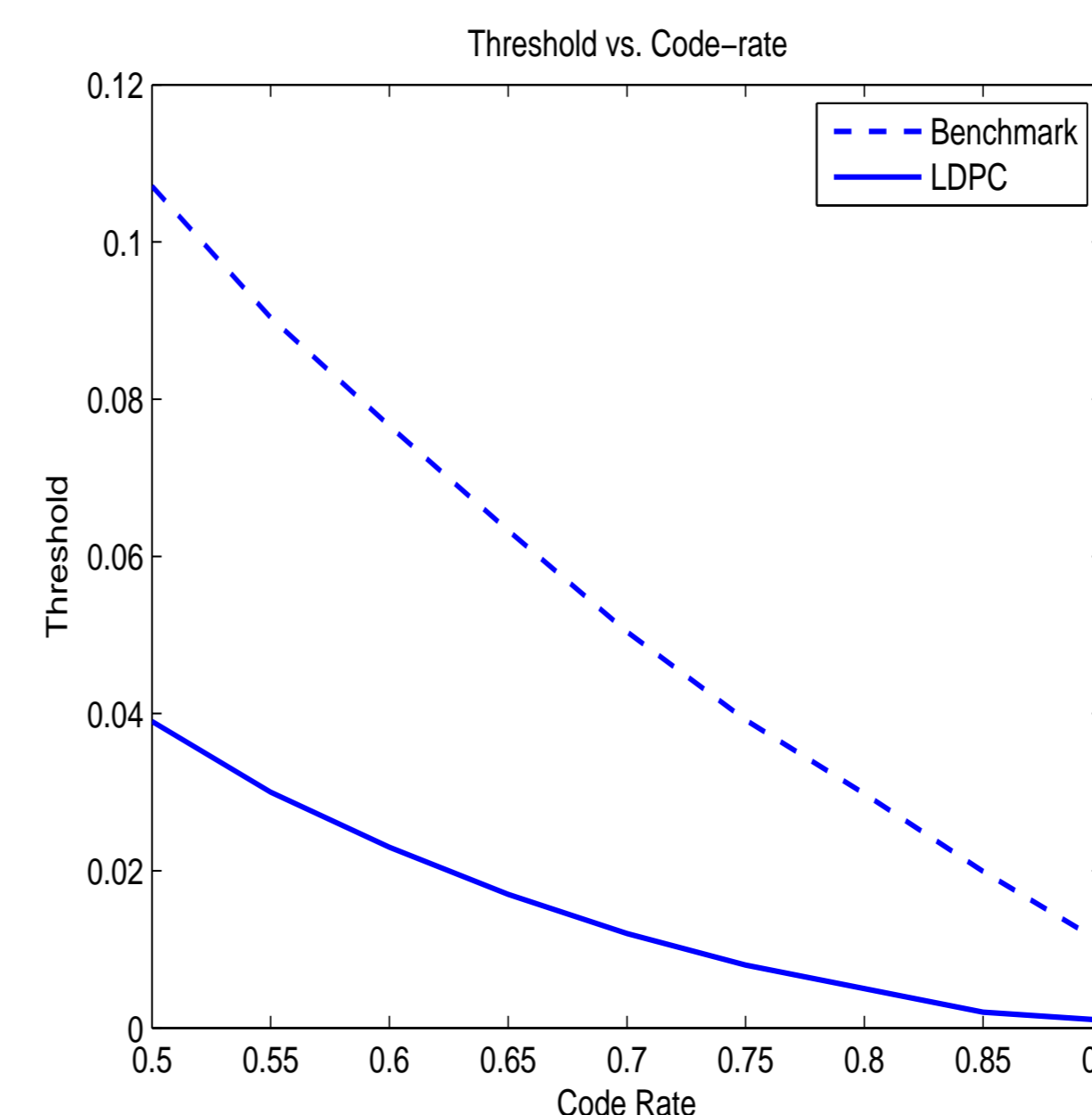


Figure 1: The threshold of the 2400 block length LDPC code used in this work compared to benchmark capacity-approaching irregular LDPC codes.

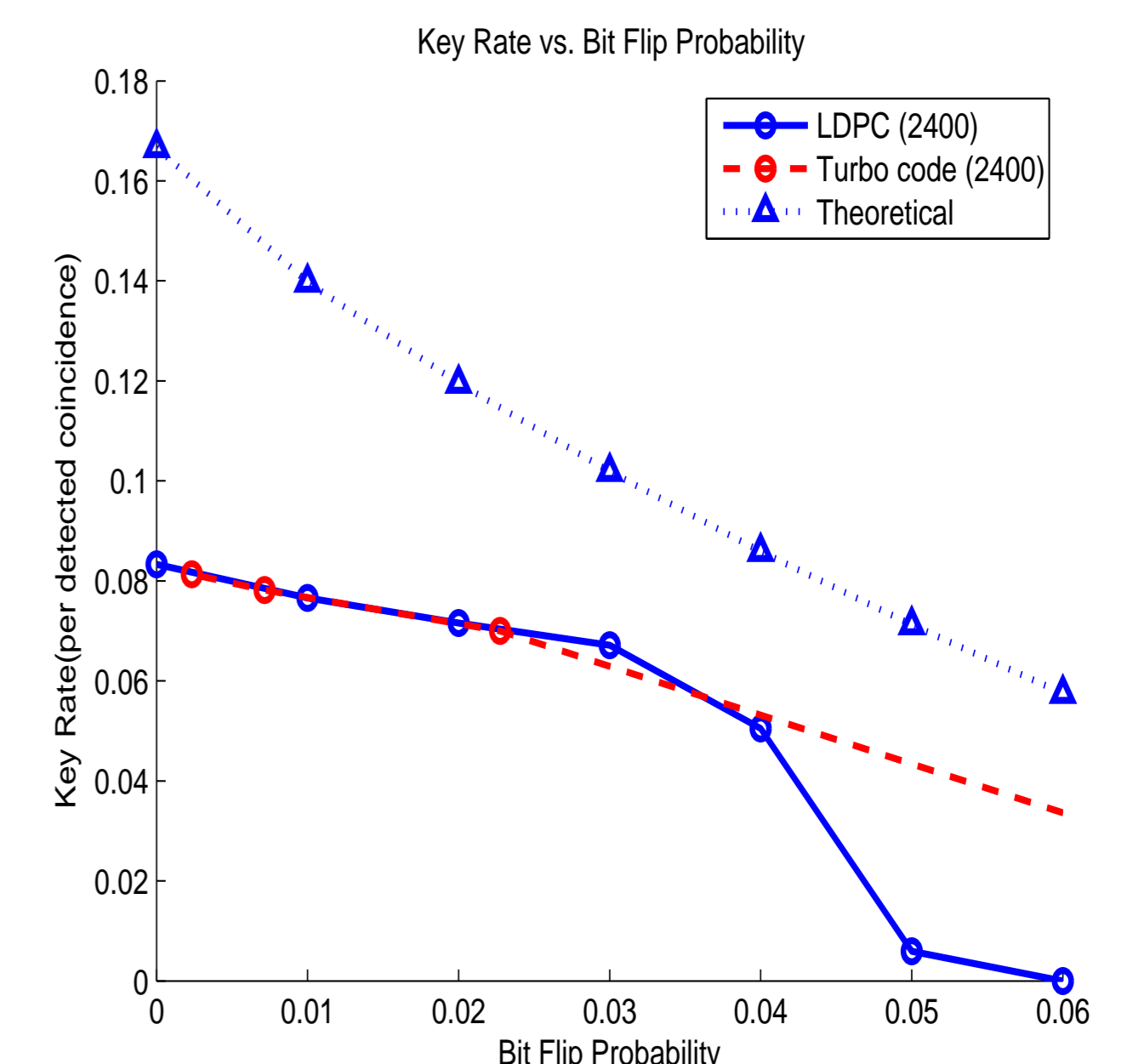


Figure 2: The key rate for one-half rate codes. A value of  $k = 0.5$  is assumed. The blue (solid) line represents the LDPC code, while the red (dashed) line is a turbo code with the same rate. The block lengths for both codes used in the simulation is 2400. The dotted line is a standard entanglement-based QKD key rate calculated.

- **Potential rate-adaptive reconciliation schemes (Fig. 1)** We note that in any practical implementation of a satellite-based QKD protocol, rate-adaptive reconciliation from some Mother code is appealing. In this paper, puncturing technique in [3] is applied to increase the code rate from 0.5 to 0.9. Over a wide range of code rates derived from our Mother code, the thresholds for our 2400 block length LDPC code is over a factor of two smaller than those for a capacity achieving code.

- **LDPC vs. Turbo code (Fig. 2)** LDPC code has a slightly better performance at the low bit-flip errors, although the turbo code does show better performance at higher bit-flip probabilities (better threshold performance).

- **Performance reduction when using short codes (Fig. 2)** We simply investigate the impact our state-of-the-art short-block length codes have on reductions of the system throughput relative to optimal capacity.

## Conclusion

- Due to the short time span available for satellite-to-ground station detections, the use of short-length codes for the key reconciliation phase of space-based QKD may be required.
- We outline how short-block length LDPC and turbo codes may be able to provide reconciliation solutions for the satellite-based DI-QKD system.
- Future work should consider the improvement of decoding performance of the short LDPC codes and the neglect of finite signalling in the security aspects of our derived key rates.

## References

- [1] J. Yin, Y. Cao, Y.-H. Li, S.-K. Liao, L. Zhang, J.-G. Ren, W.-Q. Cai, W.-Y. Liu, B. Li, H. Dai et al., "Satellite-based entanglement distribution over 1200 kilometers," *Science*, vol. 356, no. 6343, pp. 11401144, 2017.
- [2] U. Vazirani and T. Vidick, "Fully device-independent quantum key distribution," *Physical Review Letters*, vol. 113, no. 14, p. 140501, 2014.
- [3] D. Elkouss, J. Martinez, D. Lancho, and V. Martin, "Rate compatible protocol for information reconciliation: An application to QKD," *Information Theory, IEEE Information Theory Workshop on*, pp. 15, 2010.
- [4] X.-Y. Hu, E. Eleftheriou, and D.-M. Arnold, "Progressive edge-growth Tanner graphs," in *Globecom 01*, vol. 2. IEEE, 2001, pp. 9951001.